



## NorthernGrid Process for Submitting a non-incumbent Transmission Project for Consideration

At the beginning of each planning cycle, and before March 31<sup>st</sup> of every even calendar year, an ITP proponent, or Merchant Transmission Developer, may propose a Sponsored Project for consideration in the relevant planning cycle. At a minimum, the Project Sponsor must submit the information in Table A.

Table 1: Table A. Project Data Requirements

Table A. Project Data Requirements	Data/Information to be Provided	Everyone Except Merchant Transmission Developers	Merchant Transmission Developers
A	Proposed project name of Project Sponsor; if project is an unsponsored project, please clearly label project as "Unsponsored"	Y	Y
B	Whether or not project is claimed to be a Committed Project	Y	Y
C	Whether Project Sponsor intends to request cost allocation	Y	N
D	Identify the Enrolled Party Needs to be addressed and whether any such Enrolled Party Needs are driven by Public Policy Requirements	Y	Optional
E	Identify location of project, including map of proposed route	Y	Y
F	Any new facilities comprising the project (e.g., new substations and transmission lines)	Y	Y
G	Voltage level (including AC or DC)	Y	Y
H	Structure type (wood, steel, single-circuit, double-circuit, etc.)	Y	Y
I	Conductor type, mileage, configuration, and electrical parameters of components as necessary to model them accurately in power flow simulations (e.g., resistance, reactance, charging, ratings, etc.)	Y	Y
J	Project terminal facilities and any reactive elements (in MVAR)	Y	Y
K	"Estimated Cost" of project, and if available, the associated annual revenue requirement and underlying assumptions (such as annual return (both debt and equity), depreciation, taxes other than income, operation and maintenance expense, and income taxes)	Y	Optional
L	Development schedule of the project	Y	Y
M	Planned in-service date of the project	Y	Y
N	Whether the project is being proposed as an Interregional Transmission Project (ITP)	Y	N
O	If the project is an Interregional Transmission Project, a list of all Relevant Planning Regions to which the Interregional Transmission Project has been, or is to be, submitted for evaluation	Y	N
P	Whether the Project is a Merchant Transmission Project	N	Y
Q	Additional technical studies and analysis, if performed	Y	Y
R	Economic Considerations	Y	Optional



NorthernGrid process for Cost Allocation Consideration

Merchant Developers that wish to have their projects considered for Cost Allocation must also submit the following information. Only projects that have been selected into the Regional Transmission Plan and have been submitted by Qualified Developers seeking Cost Allocation will be cost allocated.

Table B. Developer Qualification Data Requirements		
Category	Information to be Provided	Criteria for Evaluation of Information
<b>Project Sponsor's Identification</b>	<ol style="list-style-type: none"> <li>1. name</li> <li>2. address</li> <li>3. primary contact information, including:               <ol style="list-style-type: none"> <li>(i) name and title</li> <li>(ii) phone number</li> <li>(iii) address</li> <li>(iv) email address</li> </ol> </li> </ol>	Assess whether required data was submitted
<b>Project Sponsor's Experience</b>	<ol style="list-style-type: none"> <li>1. years in business</li> <li>2. description of relevant experience, including management and technical experience, developing, constructing, owning, and/or operating a project of similar size and scope as the Sponsored Project</li> </ol>	Assess whether information indicates experience, including managerial and technical expertise in developing, constructing (or managing construction), owning, and/or operating comparable projects.
<b>Reliance on Third Parties</b>	<ol style="list-style-type: none"> <li>1. identification of any third parties that the Project Sponsor intends to rely on for management, development, operating, or technical expertise</li> <li>2. description of the expertise the third party is expected to provide</li> <li>3. information from which such third party's financial ability to perform can be evaluated, which may include information substantially similar to the information listed with regard to the Project Sponsor's financial ability below</li> </ol>	Assess whether information indicates experience, including managerial and technical expertise in developing, constructing (or managing construction), owning, and/or operating comparable projects.
<b>Project Sponsor's Financials</b>	<ol style="list-style-type: none"> <li>1. demonstrate that Project Sponsor, or Project Sponsor's parent, has either an investment grade rating or has a minimal tangible net worth of \$1,000,000 or total assets of \$10,000,000</li> <li>2. provide information from which Project Sponsor's creditworthiness can be evaluated, which may include the following (to the extent they exist):               <ol style="list-style-type: none"> <li>(i) most recent annual report</li> <li>(ii) most recent quarterly report</li> <li>(iii) last two most recent audited year-end financial statements</li> <li>(iv) rating agency reports</li> <li>(v) any material issues that could affect the credit rating, including any pending or expected litigation or investigations</li> <li>(vi) other information supporting Project Sponsor's financial ability</li> </ol> </li> </ol>	Assess whether the information was submitted and satisfied the criteria.
<b>Affirmation</b>	a signed affirmation by an authorized officer of the Project Sponsor that the information provided under this Section is true, accurate, and complete to the best of such authorized officer's knowledge and belief	Assess whether the information was submitted.